



Tanana
Chiefs
Conference

Pre-Award Risk Assessment Questionnaire



Recipient: _____

This questionnaire is part of a 2 CFR 200 process for evaluating the risks associated with responses to the Sub awardee Notice of Funding Opportunity (“NOFO”) issued by the Tanana Chiefs Conference from the EPA Solar For All Grant. The responses to this questionnaire will be used in conjunction with other information collected by the Tanana Chiefs Conference to establish risk management measures such as reporting frequency, insurance requirements, the need for site visits for individual Awards.

Please answer the following question with; Yes, No or N/A

1. Has your organization operated or managed Federal funds for any projects within the last three years? _____

2. Is this a new program, or project type, for your organization?

3. Will your organization issue contracts more than \$25,000 with funds provided by the Tanana Chiefs Conference?

4. Does your organization have effective internal controls, including written procurement policies? _____

5. Are your organizations procurement policies consistent with 2 CFR 200.318 – 326?

6. Has your organization had a lawsuit(s) filed against it in the past five years? If yes, please attach detailed documentation regarding who filed, reason for filing, and final judgment.

7. Has your organization currently or previously been suspended or debarred from Sam.gov, or have employees on an excluded parties list?

If yes, please attach a detailed explanation. _____

8. Does your organization have a current registration in Sam.gov? Please provide your UEI and the date your registration expires:

UEI: _____ Exp. Date: _____

9. Does your organization have any current tax liens or judgments against it? If yes, provide an explanation of how they were addressed.

10. Does your organization have a financial management system in place that can track different awards/accounts, and different types of expenditures? _____

11. Does the system track expenses by standard Financial Assistance Awards (FAA) budget categories or adapt to the specific FAA proposal's budget? _____

12. Has your organization had a Single Audit completed for any past, or existing federally funded projects? _____ Please provide your TIN: _____

If yes, were there any findings? If so, attach a detailed explanation of the findings, and management's response. _____

13. Does your organization have a federally approved indirect cost rate? _____

If yes, please list the rate, cognizant federal agency that approved the rate, and date the current rate was approved. Please provide a copy of the approved rate agreement.

Rate: _____ Agency: _____ Date: _____

Certification

By signing this document, I certify to the best of my knowledge and belief that this information is true, complete, and accurate. I am aware that any false statements or misrepresentation may jeopardize my organization's ability to receive a Tanana Chiefs Conference Sub Award.

Name (print) Title

Authorized Signature Date